

FPGA-Based Protection Scheme against Hardware Trojan Horse Insertion Using Dummy Logic

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Abstract—*Hardware trojan horses (HTH) have recently emerged as a major security threat for field-programmable gate arrays (FPGAs). Previous studies to protect FPGAs against HTHs may still leave a considerable amount of logic resources to be misused by malicious attacks. This letter presents a low-level HTH protection scheme for FPGAs by filling the unused resources with the proposed dummy logic. In the proposed scheme, we identify the unused resources at the device layout-level and offer dummy logic cells for different resources. The proposed HTH protection scheme has been applied on Xilinx Virtex devices implementing a set of IWLS benchmarks. The results show that by employing the proposed HTH protection scheme, the chance of logic abuse can be significantly reduced. Experimental results also show that as compared to nonprotected designs, the proposed HTH scheme imposes no performance and power penalties.*

Index Terms—Design for hardware trust, field-programmable gate arrays (FPGAs), hardware trojan horse.

I. INTRODUCTION

FIELD-PROGRAMMABLE GATE ARRAYS (FPGAs) with lower *nonrecurring engineering* (NRE) cost and less *time-to-market* (TTM) compared to *application-specific integrated circuits* (ASICs) provide a promising single chip solution for implementing embedded systems [2]. The reconfiguration property of FPGAs provides designers the flexibility of implementing a wide range of applications. This property also enables the embedded system designers to apply design updates even after circuit implementation and shipment. In contrast to ASICs, however, the reconfigurability of FPGAs makes them more susceptible to design modification even after chip being manufactured [7]. Therefore, the secure implementation of an embedded system using FPGAs not only requires employing protection schemes during design and manufacturing process similar to ASICs, but also requires secured protection mechanisms against malicious attacks after device shipment.

Recently, *hardware trojan horses* (HTH) insertion and detection have received significant attention in the literature [6], [9], [17], mainly because they can maliciously disturb the normal functionality of a circuit or change its characteristics. This, in turn, can affect the reliability and security of a chip. Although a

great deal of research has been conducted towards insertion of HTHs and/or detection/prevention against them in ASICs, there have been a limited amount of studies investigating HTH insertion and detection in FPGAs, where not only silicon HTH can be inserted in the device, application space HTH can also be inserted in the design [8], [23]. Such studies mostly focus on insertion and detection of a HTH or *Design For Hardware Trust* (DFHT).

Previous HTH studies on FPGAs can be classified into either high-level techniques using *hardware description languages* or low-level techniques. While high-level techniques [15] aim at higher levels of design process, low-level ones [7] perform insertions, detections, or DFHT at bitstream or device levels. In [18], the authors present a technique, called BISA, to fill the unused space in layout of a design with functional standard cells instead of filler cells. These cells form a circuit with specific I/O signature; hence, any modification in BISA will alter the signature. This can make fabrication-stage HTH insertion difficult and detectable, unless the inserted HTH only affects the original design cells, where BISA cannot detect the inserted HTH. The main aim of the method proposed in [13] is to utilize all synthesized resources on all clock cycles, so there will be no room within the hardware for HTH inclusion. This requires accurate and fully specified design in all levels of abstraction. While it is possible to check whether a design meets the mentioned criteria, it would be difficult to specify a design such that all of its resources are utilized all the time, particularly in such a way that their operation will be essential to appropriate operation of the whole circuit. An approach to implement various HTHs has been proposed in [14]. This approach cannot be detected by ring oscillator-based protection mechanisms. A low-level technique to manipulate the configuration bitstream has been proposed in [7]. In this technique, the unused parts of bitstreams are detected and a properly presynthesized, preplaced, and pre-routed bitstream of a HTH is inserted to the original one. Such HTH insertion scheme cannot be applied to a design which is evenly distributed throughout the FPGA fabric.

In this letter, we propose a low-level HTH protection scheme by filling the unused resources of the FPGA with *low-level dummy logic* (LLDL). In the proposed scheme, we identify unused resources within the FPGA device and propose dummy logic cells for different resources of FPGAs. The proposed scheme significantly reduces the chance of application space HTH attacks by giving no free configurable resource for HTHs insertion in the design's bitstream. The malicious inclusion can either disturb the functionality of the original design, incur device fluctuations such as warming up or transistor aging as in [7]. This is in contrast to high-level protection techniques that may leave considerable amount of logic resources to be

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misused by attackers. Additionally, by employing the proposed low-level technique, the bitstream reverse engineering becomes much more difficult for the purpose of leaking design specifications, e.g., number, location, and configuration of utilized resources, which can facilitate subsequent power analysis attacks [8]. The proposed protection scheme could be automatically applied to the designs after placement and routing stages. In addition, the proposed LLDL scheme does not alter the placement and routing of the original design and only exploits the unused configurable resources in the FPGA.

We have applied the proposed scheme on Xilinx Virtex devices implementing a set of IWLS 2005 benchmarks [1]. The experimental results reveal that our proposed scheme does not impose any power or performance overheads as compared to the original nonprotected designs. Our results also show that the proposed scheme increases the utilization of FPGAs up to 15X without having any power or performance overheads.

The rest of this letter is organized as follows. Section II describes the proposed LLDL scheme. Section III presents experimental setup and results. Finally, Section IV concludes the letter.

II. THE PROPOSED SCHEME

In this section, we first discuss low-level configurable resources available in a typical FPGA. Then, the insertion of dummy logic and dummy routing resources are presented in the subsequent subsections.

A. Low-Level Configurable Resources in FPGA

LLDLs are added to the original circuit after placement and routing stage. At this stage, the *native circuit description* (NCD) file of the original circuit could be converted to the human readable description at the physical-level of device. Some vendors offer *layout-level hardware description language* (LHDL) to directly describe a device functionality using device resources. As an example, Xilinx provides a language, called *Xilinx description language* (XDL) [3], which can be employed to efficiently design a circuit with available device resources. Such vendors offer toolsets to convert the NCD file to its LHDL equivalent format. The produced LHDL format similar to the NCD file contains all of the low-level configuration state of logic and routing resources in the FPGA. Having the LHDL format of the original design, the proposed LLDL could be inserted by exploiting low-level FPGA resources.

Although the device resources in LHDLs vary among different series of FPGAs, they could be categorized under the following two groups: 1) *programmable logic points* (PLP); and 2) *programmable interconnect points* (PIPs). PLPs include the resources implementing a special logic which are typically grouped in a *cluster*. State-of-the-art FPGAs offer various types of PLPs for a single device type. The most common PLP uses *look-up tables* (LUTs), called *PLPL*. This type of PLP is composed of several LUTs, *Flip-Flops* (FFs), and Multiplexers and can implement both combinational and sequential circuits. Other types of PLPs may implement memory blocks, hard logic multipliers, or even *digital signal processing* (DSP) blocks. For instance, Xilinx Virtex-II series have more than twenty PLP types such as *block random access memories* (BRAM), SLICE, BSCAN, IOB, ICAP, MULT18 \times 18, PCILOGIC, STARTUP,

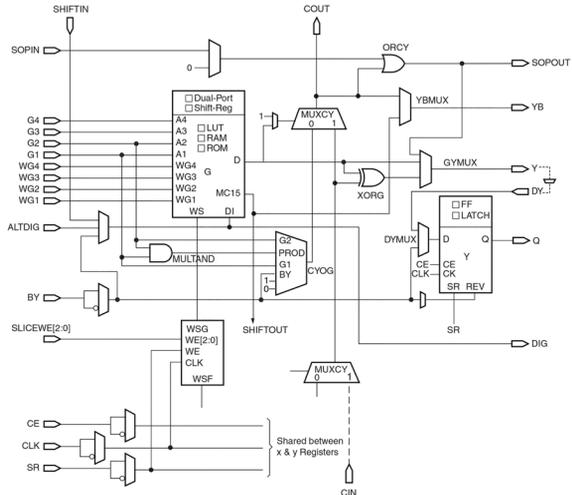


Fig. 1. Virtex-II Slice (Top Half) [21].

and VCC [20]. PIPs, on the other hand, are programmable interconnects which provide the connectivity between PLPs. In the subsequent subsections, we will discuss the LLDL insertion in PLP and PIP resources.

B. Dummy PLPL Insertion

After the placement and routing of the original design, FPGA clusters will be in either partially utilized or unutilized states. In order to protect partially utilized PLPLs against HTH insertion, the unused resources such as FFs, LUTs, and Multiplexers should be instantiated in the LHDL file in a way that it does not disturb the functionality of the original design. However, for the unutilized PLPL, the entire PLPL could be filled with a random dummy PLPL. We intentionally use random configuration for unused resources in order to avoid any distinguishable pattern in the dummy logic. However, if the original design contains limited or specific type of configurations, we can fill the dummy logic with similar configurations.

A PLPL consists of a LUT-4 cell, a FF, multiple multiplexers, and hard logic cells, as shown in Fig. 1. Configuration data of a PLP determines its functionality. It is notable to mention that the intra-PLP connections are described in the LHDL file after the placement and routing stages. Listing 1 illustrates the description of a PLPL in the XDL format. As shown in Listing 1, the configuration of each resource in the PLP is presented in the XDL format. For instance, the function of a LUT is described as a boolean function of the four inputs. If a LUT has not been utilized, its corresponding state in the XDL format will be demonstrated as *OFF*.

The proposed LLDL protection scheme first automatically detects the unused clusters and then fills them with dummy PLPLs. Next, the unused resources in partially utilized clusters can be detected and filled up with dummy logic. Note that the inputs of any instantiated PLP should be driven by a net, and also its outputs should drive a net; otherwise, this PLP will be removed automatically during bitstream generation. Therefore, we insert a net per each output of a dummy PLP and also instantiate a single net to drive all inputs of the dummy PLP. Listing 2 shows a dummy net that drives all used inputs of a dummy PLP. By filling up partially used and unused clusters

```

inst "N_106" "SLICE",placed R11C4 SLICE_X6Y11 ,
cfg " BXINV::BX BXOUTUSED::#OFF BYINV::BY
BYINVOUTUSED::#OFF BYOUTUSED::#OFF CEINV::#OFF CLKINV::#OFF
COUTUSED::#OFF CYOFF::#OFF CY0G::#OFF CYINIT::#OFF
CYSELF::#OFF CYSELG::#OFF DIFMUX::#OFF DIGUSED::#OFF
DIG_MUX::#OFF DXMUX::#OFF DYMUX::#OFF
F::#LUT:D=((^A3*(^A1+(^A2+A4)))+(A3*(^A1*(A2*^A4))))
FSUSED::0 FFX::#OFF FFX_INIT_ATTR::#OFF FFX_SR_ATTR::#OFF
FFY::#OFF FFX_INIT_ATTR::#OFF FFX_SR_ATTR::#OFF FXMUX::#OFF
FXUSED::0 F_ATTR::#OFF
G::#LUT:D=((^A3*(^A2*(A4*^A1)))+(A3*(^A2+(^A4+A1))))
GYMUX::#OFF G_ATTR::#OFF REVUSED::#OFF SHIFTOUSED::#OFF
SLICEWEUSED::#OFF SLICEWE1USED::#OFF SLICEWE2USED::#OFF
SOPEXTSEL::#OFF SOPOUTUSED::#OFF SRFMUX::#OFF SRINV::#OFF
SYNC_ATTR::#OFF WF1USED::#OFF WF2USED::#OFF WF3USED::#OFF
WF4USED::#OFF WG1USED::#OFF WG2USED::#OFF WG3USED::#OFF
WG4USED::#OFF XBMUX::#OFF XUSED::#OFF YBMUX::#OFF
YBUSED::#OFF YUSED::#OFF ";

```

Listing 1. Cluster description in a sample XDL file.

```

net "NET0_SLICE_X0Y0" ,
outpin "_SLICE_X0Y0_" COUT ,
inpin "_SLICE_X0Y0_" G4 ,
inpin "_SLICE_X0Y0_" BY ,
inpin "_SLICE_X0Y0_" F4 ,
inpin "_SLICE_X0Y0_" CLK ,
...

```

Listing 2. Net description in a sample XDL file.

```

inst "_RAMB16_X0Y0_" "RAMB16",placed place RAMB16_X0Y0 ,
cfg " CLKAINV::CLKA CLKBINV::#OFF ENAINV::ENA ENBINV::#OFF
PORTA_ATTR::1024X18 PORTB_ATTR::#OFF SAVEDATA::#OFF
SSRAINV::SSRA SSRBINV::#OFF WEAINV::WEA WEBINV::#OFF
WRITEMODEA::WRITE_FIRST WRITEMODEB::#OFF
INITP_00::4551b7...[RANDOM_DATA]
INITP_01::4eeec6...[RANDOM_DATA]
...
INIT_3f::e5fea42...[RANDOM_DATA]
INIT_A::00000 SRVAL_A::00000 ";

```

Listing 3. Filling an unused BRAM with random data in an XDL file.

in the FPGA, there will be no more free cluster in the FPGA for possible low-level HTH insertions. Nevertheless, since a dummy logic does not implement any specific function, one can replace a HTH instead of the dummy logic without making any impact on the functionality of the original system. However, differentiating between the dummy logic and the original design especially after bitstream generation is a very challenging task. This is because the configuration bits are not distinguishable due to the confidentiality of the bitstream format.

C. Other Dummy PLP Insertion

Except the PLPL which could be partially utilized, other PLPs have only two states: 1) used; and 2) unused. To protect a design against HTH insertion, unused PLPs are configured with a random configuration data. Clearly, the used PLPs will not be manipulated during the dummy logic insertion. As an example, if an unused BRAM is detected in the LHDH file, it will be filled up with a bunch of random data. This has been illustrated in Listing 3.

D. Dummy PIP Insertion

FPGAs comprise numerous wires spread out among logic blocks to provide appropriate routability. Each wire segment may drive or be driven by several other segments. For any wire segment, a PIP determines which of its endpoint segments be activated. Hence, the definition of a PIP is as “*pip tileName srcName → destName*,” in which, *tileName* refers to the encompassing tile (or cluster), *srcName* refers to the name of the source

wire, and *destName* indicates the name of the destination wire. PIPs compose a major part of bitstream bits. For example, a Virtex2 XC2V80 device contains 720 752 PIPs which occupy about 48% of the device bitstream. However, PIPs that drive a unique destination within a cluster cannot be used simultaneously. Knowing this fact, we define a dummy net in the LHDH file and add a PIP “*pip TA → B*”, if it meets the following conditions:

- 1 there should not be any “*pip TX → B*,” neither in the original nor in the currently added PIPs;
- 2 there should not be any “*pip TA → Y*,” in the primary PIPs.

The first rule is necessary to avoid the cases that multiple wire segments drive a unique segment, and the second rule prevents adding extra load to the nets of the original design to avoid performance overheads.

E. Discussion: Possible Attacks and Configuration Encryption

Although brute-forcing the device logic to discriminate the dummies based on monitoring the design’s functionality is theoretically possible, due to large number of resources inside a FPGA, it is extremely difficult to change the logic configuration one by one and give an essentially complete test pattern to verify the correct functionality of the design, particularly for complex and sequential circuits. Another way is to arbitrary place a HTH (without finding dummy logic), which requires no overlapping between resources of the original and HTH circuits. This is also infeasible, because for example if we consider half of the device resources to be empty and the HTH is composed of only 50 LUTs (which is less than 5% of total LUTs in smallest devices), the probability of having no overlap is approximately. $0.5^{50} (= 8.9 \cdot 10^{-16})$. Furthermore, since we have not left any room for adding new PIPs, the attacker cannot introduce new interconnect between the hypothetical HTH resources. A full reverse engineering, which requires the complete database between bitstream bits and corresponding resources can make it possible to generate the modified design XDL, but yet not the original design. Moreover, the available tools [12], [5], [4] have very limited resource database and only are available to extract a few set of PIPs as a plain text, so they are entirely unable to reconstruct the interconnect which comprises most of the configuration bits. Note it is also possible to distinguish a chunk of dummy LUTs by accurately monitoring the signal activities, if a considerable portion of the original device is unutilized. This issue can be resolved by choosing a near-to-minimum size device or evenly distributing the original design logic within the FPGA using simple synthesis constraints.

Another argument regarding the proposed scheme is that configuration encryption is sufficient to ensure the device security. We should note that not all of device families support bitstream encryption; even if they do, there are several successful works, usually based on power analysis attacks that break bitstream encryption [11]. Therefore, our scheme can be used on top of other protection mechanisms as configuration encryption. Lastly, it should be noted that the proposed scheme protects the design against insertion of malicious objects in free resources of the device and is irrelevant to the modification made on the base design.

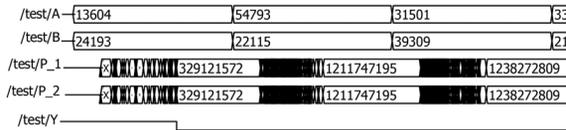


Fig. 2. Functional verification.

```

`timescale 10 ns/1 ps
module stimuli ();
  reg [15:0] A, B;
  wire [31:0] P_1;
  wire [31:0] P_2;
  integer i;
  TopLevel6288 ins1 (.A(A), .B(B), .P(P_1));
  TopLevel6288_full ins2 (.A(A), .B(B), .P(P_2));
  assign Y = |(P_1 ^ P_2);
  initial begin
    for (i = 0; i < 1000; i=i+1) begin
      #4 A = $random; B = $random;
    end
    $stop;
  end
endmodule

```

Listing 4. Stimuli module for functional verification.

III. EXPERIMENTAL SETUP AND RESULTS

Here, we will first verify the functionality of a design after applying the proposed HTH protection scheme. Then, the original and modified designs will be compared in terms of performance and power consumption. Finally, the resource utilization of the original and modified designs will be discussed. In these experiments, Xilinx ISE Design Suite 10.1 is used to implement a set of IWLS benchmark circuits on the Xilinx Virtex-II device. Then, performance reports are extracted by post placement and routing simulations in Xilinx Timing Analyzer [19]. In addition, power reports are extracted by Xilinx XPower tool [22]. Utilization reports are also adopted from Xilinx ISE utilization reports.

A. Functional Verification

In order to perform functional verification, post placement and routing simulation models are generated for both original and protected designs using Xilinx *netgen* command. This command produces a Verilog file along with the corresponding *standard delay format* (SDF) file from the post placement and routing NCD file. Then, as it is shown in Listing 4, the top modules of both the original and protected designs are instantiated and wrapped up in an another Verilog module named *stimuli*. The instances of the original and protected designs share the same inputs. In order to verify the functionality of the protected design against the original design, the equivalent outputs are first XORed. Then, the output of all XOR gates are ORed to examine the exact match between the outputs of both designs. Note that the generated HDL files contain Xilinx primitives as LUT modules and BRAMs. To this end, the SIMPRIM library [16] is added to the Mentor Modelsim [10] simulator. As it is shown in Fig. 2, simulation results reveal that the output of two designs are exactly identical. This proves that the proposed protection scheme does not disturb the functionality of the original design. To ensure whether all added instances exist in the final bitstream, we convert back the post placement and routing NCD to XDL file to remove possible dangling instances. The results show that all dummy logic still exist in the XDL file, which proves that they have been added to the design appropriately.

TABLE I
POWER AND PERFORMANCE OF PROTECTED VERSUS UNPROTECTED DESIGNS

Circuit	Critical Path (ns)		Power (Watt)	
	Unprotected	Protected	Unprotected	Protected
ac97_ctrl	4.672	4.672	0.161	0.161
aes_core	7.140	7.140	1.075	1.075
des	6.811	6.811	0.837	0.837
mem_ctrl	12.763	12.763	0.356	0.356
spi	9.848	9.848	0.269	0.269
tv80	15.287	15.287	0.180	0.180
usb_phy	3.201	3.201	0.078	0.078

B. Performance

Critical path delay of both original and protected designs are obtained from the Xilinx Timing Analyzer reports. This tool gets an NCD file and analyzes the timing constraints of the design. As shown in Table I, the pad-to-pad critical path delay of both designs are the same. Hence, our scheme does not impose any performance overhead to the original design. This is one of the main advantage of the proposed LLDL scheme as compared to the HLDL schemes which can negatively affect the performance of the original design.

C. Power Consumption

To measure the power consumption of the mapped designs, Xilinx XPower Analyzer is used. This tool gets the NCD file beside an optional activity file (VCD or SAIF) to estimate the power consumption. The activity file is produced by Modelsim simulations described earlier in Section III-A. Table I illustrates the power consumption of the original (unprotected) and protected designs. As it is shown, although the protected design utilizes more resources than the original design, it consumes almost the same power as the original design. This is due to the fact that static power of a FPGA is constant, regardless of the implemented design. That is whether a resource is utilized or not, it consumes almost the same static power in the FPGA. In addition, the dummy resources in the protected design are not triggered by any input, i.e., although their input nets are configured, we choose to not drive them with any active signal (by the second criteria in Section II-B) to cause any switching. Therefore, a protected design does not consume further dynamic power as compared to the original design.

D. Resource Utilization

Unused resources are potential candidates to be used by low-level HTHs. Therefore, resource utilization of a design in FPGAs can be used as a measure of susceptibility to the HTH insertion. High resource utilization reduces the chance of a HTH insertion. In the experiments, the resource utilization is measured before and after applying the protection scheme. The proposed protection scheme instantiates all unused PLPs in the target FPGA. However, as mentioned before in Section II, it cannot utilize all of the available PIPs. To evaluate the resource utilization, a set of IWLS benchmark circuits is implemented on a minimum sized FPGA. As shown in Table II, on average, the number of PIPs is increased by 15X in the protected design as compared to the original unprotected one. In addition, the PLP utilization is increased to 100% for all of protected designs. As a result, the resource utilization is significantly increased after applying the proposed protection scheme as compared to the original design. Note that the provided numbers for unprotected

TABLE II
PLP AND PIP UTILIZATION: PROTECTED VERSUS UNPROTECTED DESIGNS

Circuit	PLP utilization		PIP utilization	
	Unprotected	Protected	Unprotected	Protected
ac97_ctrl	60.3%	100%	1.52%	7.65%
aes_core	7.3%	100%	0.25%	8.02%
des	21.3%	100%	0.46%	7.78%
mem_ctrl	19.1%	100%	0.51%	7.93%
spi	78.2%	100%	1.53%	7.48%
tv80	52.6%	100%	1.07%	7.55%
usb_phy	16.6%	100%	0.36%	8.03%

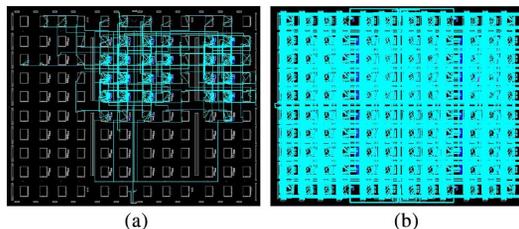


Fig. 3. Protected versus unprotected design. (a) Unprotected usb_phy. (b) Protected usb_phy.

PLPs account only for the LUTs, i.e., several other primitives such as multipliers and BRAMs are entirely unused and significantly reduce the overall PLP utilization. Fig. 3 illustrates the resource utilization of a sample unprotected circuit (usb_phy) from IWLS circuits vs. the same circuit after being protected by applying the proposed LLDL scheme. Note that the high resource utilization is achieved in the proposed scheme while no power and performance penalties are imposed to the system.

IV. CONCLUSION AND FUTURE WORK

In this letter, we proposed a low-level protection scheme against HTH insertion in the bitstream of FPGAs. This scheme is based on utilizing unused resources of an FPGA. Functional verification has been performed in order to assure the correctness of the functionality of the circuit after applying the protection scheme. Experimental results show that the proposed scheme increases the utilization of FPGAs up to 15X without having any impact on power or performance.

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